

Module code	FIN601	Level	6
Module title	Investment and Wealth Management	Credit value	24
Programme(s) on which the module is taught	BA (Hons) Business and Finance	ECTS Credits	12
		Notional learning hours	240

1. Pre-requisite modules

None

2. Module aims and objectives

Investment and Wealth Management module will bring together aspects of institutional investment management, asset management, hedge funds, private banking and personal finance. This module is designed to expose students to fundamental principles and theories in investment management and wealth management. It also gives students further understanding of financial products in financial markets and develops primary skills in managing financial assets. Students will analyse, research and create a long only and hedged portfolio consisting of equities, bonds, derivatives, real estate vehicles and hedge funds. Students will explore the main investment and risk management strategies and methods.

The module addresses the following themes: Stewardship; Creativity; Intercultural Intelligence; Leadership. It provides students with analytical skills for investment analysis used by private and institutional investors in a globalised market. The module presents the common types of investments and provides an in-depth the analysis of management of investment portfolios, containing fixed income, equities, derivatives, property and alternative investments.

3. Learning outcomes

A) Knowledge and understanding

Upon successful completion of this module the students will be able to:

A10 Demonstrate specialist knowledge and critical understanding of the knowledge base of the chosen pathway and its interrelationship with other fields of study. Appreciate the value of CPD and lifelong learning.

A12 Synthesise and integrate knowledge at a level of abstraction; critically evaluating from competing perspectives theories and concepts to form critical and reflective understanding of information, and to exercise significant judgment in a range of business situations.

B) Skills

Upon successful completion of this module the students will be able to:

B10 Accept accountability and take responsibility in professional codes of conduct, ethics, sustainability and social responsibility. Employ ethical leadership behaviours to reflect, think strategically, communicate convincingly and demonstrate adaptability, self-confidence and integrity.

B12 Demonstrate creativity, adaptability and self-confidence in dealing with the challenges that arise from independent learning.

4. Indicative content

The module content will cover the following main parts:

- Examination of the characteristics of different financial assets, such as money market instruments, bonds, and stocks, and how to buy and sell these assets in financial markets.
- Analysis of the benefit of diversification of holding a portfolio of assets and the importance played by the market portfolio.
- Learn and apply different valuation models to evaluate fixed income securities, stocks, and how to use different derivative securities to manage their investment risks.
- Practical application of portfolio optimization and assessment of client needs and relationship management
- Technology employment as a disruptive factor in asset management and the use of wealth tech in new financial asset management environment.

5. Learning and teaching methods

The Regent's University London Hallmark Pedagogy seeks to develop graduates who are:

- Collaborative and networked
- Creative and entrepreneurial
- Critical and Reflective
- Culturally and socially versatile
- Digitally agile
- Well-informed and fluent across disciplines
- Responsible and environmentally conscious

Our Hallmark Pedagogy principles commit to providing you with the best environment for you to achieve the above Regent's graduate attributes. To do this we will:

- Take a personalised approach to your studies: finding out about your previous learning and experience, we offer individual guidance to help you plan your journey through university and beyond
- Involve you in decisions about your learning, by giving you access to a dashboard of data on your progress, explaining our teaching methods and giving you increasing autonomy to create your own educational journey
- Enhance your research, thinking and communication skills through your specialist subject, in a culturally diverse, global context
- Ensure that you have the knowledge and skills to bring more than one perspective to any challenge, both through your specialist studies and through specially-designed, team-taught activities to prepare you for future impact in your chosen personal mission
- Teach you in small classes in which students collaborate with each other and with the tutors, and where diverse learning needs can be met
- Provide learning activities which are active, often based on finding responses to real-world challenges; these activities will cross subject boundaries, and challenge

orthodoxies by providing opportunities for you to explore alternative ways of thinking; they will allow you to take risks and have fun

- Make use of flexible learning and novel on-campus space configurations to promote experimentation and discovery; and we will take your learning outside the classroom, with London as an unparalleled resource
- Provide you with tutors who are expert researchers or practitioners in their fields, who will facilitate your learning, and who are engaged in continuous professional development both as teachers and in their specialist fields
- Embrace the potential of digital technologies in supporting learning

Enhance your global network and intercultural communication skills, through engagement with our international partnerships and offering tuition in many different languages

Students will be within a 'self-directed learning' mode with tutor-facilitated support which is the basis of the learning and teaching strategy. Each class will begin with a discussion of current events taking place in the financial markets.

To stay abreast of developments, students should be versed in the latest news and events from reading newspapers and media highlighted below. In order to participate in class discussions, please complete the readings *prior to* class as the lecture will build upon the text and other reading materials.

Classes will also feature activities and research exercises as appropriate to further understanding of the issue at hand (or one currently being examined in the field). Guest lecturers will be invited to give insight into the private equity and the start-up world. Students will work in small groups and critically review each other's plans and ideas, learning from each other.

24 credit module – 240 learning hours	
Directed learning	60 hours
Lectures	12
Seminars	48
Other	0
Collaborative Learning	12 hours
Guest lecturer series, field trip to Bloomberg	12
Self-directed learning	168 hours
Preparation for class	40
Self-study after class	40
Preparation for assessments	40
Assessment	48
Total	240

6. Assessment and relative weightings

Formative Assessment

Formative Assessment 1: Case studies and guest lecturers:

This will cover all the learning outcomes and will help to identify the strengths and weaknesses of the students and prepare them for their final group coursework assignment. Lecture will give feedback on draft proposal. Students will write a draft proposal highlighting the key ideas in preparation for the second element of summative assessment. They can present their findings in class to receive feedback from lecturers and peer review.

Formative assessment 2: Formative in-class test:

This will provide both the lecturer and the student with information about progress in order to support future learning. This test will mirror the mid-term test and help prepare the students for the mid-term test. The students will be given a set of revision questions and will be expected to submit their solution to the lecture for feedback which will guide them for their revision for the final examination

Summative Assessment**Summative Assessment 1: Group Assignment: Develop an Optimised Investment Portfolio, 3500 words (+/-10%), (50% TMM)**

This is a group assignment requesting each group to develop an investment portfolio. Students will be required to construct an optimised balanced portfolio comprising equities, bonds, real estate and alternative assets using Bloomberg, Reuters data sources and/or other advanced technology. The full details and requirements of requested portfolio will be given to students through an assignment brief. Each group will consist of 2 to max 3 students

Summative Assessment 2: Individual Task Based Assignment (50% TMM)

This is a take home task-based assignment which will require a comprehensive understanding of the theories, techniques and concepts of wealth and investment management (3000 words).

7. Mapping of assessment tasks for the module

Assessment tasks	A10	A12	B10	B12
Assessment 1: Group assignment	X	X	X	X
Assessment 2: Individual Task Based assignment	X			X

8. Key readingCore Textbook

Reilly, F. and Brown, K. (2011). Investment Analysis and Portfolio Management .11th Edition. Boston: Cengage Learning.

Chishti, S (2018). The WEALTHTECH Book: The FinTech Handbook for Investors, Entrepreneurs and Finance Visionaries. London: Wiley

Other resources

Financial Times: www.ft.com

Bloomberg website: www.bloomberg.com

Bloomberg Terminal: Can be accessed via terminals in the library.

Bond and equities data: www.Yahoofinance.com